

Agenda

Item #7



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

MEMORANDUM

TO: Members of the Commission

DATE: January 18, 2011

SUBJECT: Audit Report Transmittal

Attached is the audit summary of our examination of campaign contributions and expenditures reported by the following candidates:

- Representative Adam A. Goode, House of Representatives District 15
- Representative Denise P. Harlow, House of Representatives District 116

Both candidates contended in the 2010 general election.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Vincent W. Dinan".

Vincent W. Dinan
Commission Auditor

Attachments



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135
January 18, 2011

The Honorable Denise P. Harlow
36 Broadway
Portland, ME 04103

Subject: Final Audit Report – Audit of 2010 Campaign Contributions and Expenditures

Dear Representative Harlow:

Enclosed is our final report of the audit by the Commission on Governmental Ethics and Election Practices (Commission) of your 2010 campaign contributions and expenditures. You will note that the report identifies no exceptions or discrepancies in your reporting practices.

Thank you for your cooperation during the audit process, and in helping us achieve the objectives of the Commission's audit program.

Sincerely,

A handwritten signature in dark ink, appearing to read "Vincent W. Dinan".

Vincent W. Dinan
Commission Auditor

Enclosure

cc: Sylvia G. Harlow, Campaign Treasurer



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

January 18, 2011

Audit Report No. 2010-HR005

**Candidate: Denise P. Harlow
House District 116**

Background

Denise P. Harlow was a candidate for election to the Maine House of Representatives, District 116, in the 2010 general election. Ms. Harlow was certified for Maine Clean Election Act (MCEA) funding on April 20, 2010. Under the terms of the Act, MCEA candidates are required to submit reports of contributions received, campaign expenditures, equipment purchases and dispositions, and outstanding campaign debt for specified periods during the election cycle.

Audit Scope

The auditor examined selected contributions made to the campaign, and certain expenditures made during the following reporting periods:

- Seed Money (11/5/2008 through 4/20/2010)
- Eleven Day Pre-Primary (4/21/2010 through 5/25/2010)
- 42 Day Post-Primary (5/26/2010 through 7/13/2010)
- 42 Day Pre-General (7/14/2010 through 9/14/2010)
- 11 Day Pre-General (9/15/2010 through 10/19/2010)
- 42 Day Post-General (10/21/2010 through 12/7/2010)

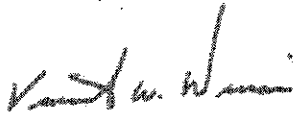
The transactions examined were recorded in the campaign's accounting records and were reported to the Commission. The purpose of the audit was to determine that

- Seed Money contributions were made from the personal resources of the contributors, and were made in amounts within the limits imposed by the Act.
- Campaign expenditures (1) were properly approved by the candidate or his authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

Audit Findings and Recommendations

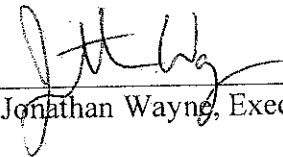
No exceptions were noted.

Respectfully submitted to the Commission for information and file.



Vincent W. Dinan, Auditor

Approved:



Jonathan Wayne, Executive Director



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

January 3, 2011

The Honorable Adam A. Goode
PO Box 2681
Bangor, ME 04402

Subject: Final Audit Report – Audit of 2010 Campaign Contributions and Expenditures

Dear Representative Goode:

Enclosed is our final report of the audit by the Commission on Governmental Ethics and Election Practices (Commission) of your 2010 campaign contributions and expenditures. You will note that the report identifies no exceptions or discrepancies in your reporting practices.

Thank you for your cooperation during the audit process, and in helping us achieve the objectives of the Commission's audit program.

Sincerely,

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Vincent W. Dinan
Commission Auditor

Enclosure

cc: Shawn Lewin, Campaign Treasurer
Gavin O'Brien



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

January 3, 2011

Audit Report No. 2010-HR004

**Candidate: Adam A. Goode
House District 15**

Background

Representative Adam A. Goode was a candidate for re-election to the Maine House of Representatives, District 15, in the 2010 general election. Rep. Goode was certified for Maine Clean Election Act (MCEA) funding on March 10, 2010. Under the terms of the Act, MCEA candidates are required to submit reports of contributions received, campaign expenditures, equipment purchases and dispositions, and outstanding campaign debt for specified periods during the election cycle.

Audit Scope

The auditor examined selected contributions made to the campaign, and certain expenditures made during the following reporting periods:

- Seed Money (11/5/2008 through 3/9/2010)
- Eleven Day Pre-Primary (3/10/2010 through 5/25/2010)
- 42 Day Post-Primary (5/26/2010 through 7/13/2010)
- 42 Day Pre-General (7/14/2010 through 9/14/2010)
- 11 Day Pre-General (9/15/2010 through 10/19/2010)
- 42 Day Post-General (10/21/2010 through 12/7/2010)

The transactions examined were recorded in the campaign's accounting records and were reported to the Commission. The purpose of the audit was to determine that

- Seed Money contributions were made from the personal resources of the contributors, and were made in amounts within the limits imposed by the Act.
- Campaign expenditures (1) were properly approved by the candidate or his authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

Audit Findings and Recommendations

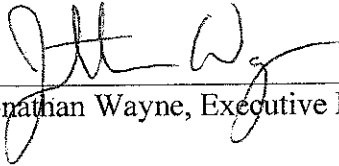
No exceptions were noted.

Respectfully submitted to the Commission for information and file.



Vincent W. Dinan, Auditor

Approved:



Jonathan Wayne, Executive Director